

05-07-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Jason Dominik Hool, Javier Urena, Fredrick
Spears and Osamu OhnoSERIAL NUMBER: **To be determined**
Express Mail No: EF057798931US
FILED: **To be determined**FOR: **A DIAGNOSTIC SAMPLE TUBE
INCLUDING ANTI-ROTATION
APPARATUS**

PATENT

ART UNIT NO.: **To be determined**EXAMINER: **To be determined**ATTORNEY DOCKET NO.:
HITA1787San Jose, California
May 3, 2001

I hereby certify that this New Application Transmittal and those documents referred to as attached hereto are being deposited with the United States Postal Service on this date May 3, 2001 in an Express Mail envelope, "Post Office to Addressee," Express Mailing Label Number EF057798931US, addressed to the Box Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

MARTHA N. GRIFFIN
Typed or printed name of person mailing paper or fee
[Signature]
Signature of person mailing paper

NEW APPLICATION TRANSMITTAL

Hon. Commissioner of Patents
and Trademarks
Box Patent Application
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventors: **Jason Dominik Hool, Javier Urena, Fredrick Spears and Osamu Ohno**For: **A DIAGNOSTIC SAMPLE TUBE INCLUDING ANTI-ROTATION
APPARATUS****1. Type of Application**

This new application is for a(n) (check one applicable item below):

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XX Original
____ Design
____ Plant

2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

19 Pages of specification
4 Pages of Claims
1 Page of Abstracts
2 Sheets of drawing
____ formal
x informal

3. **Additional papers enclosed**

____ Preliminary Amendment
____ Information Disclosure Statement (37 CFR 1.98)
____ Form PTO-1449
____ Citations
____ Declaration of Biological Deposit
____ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
____ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
____ Special Comments
____ Other

4. **Declaration or oath**

XX Enclosed
executed by (check **all** applicable boxes)
XX Inventor
____ legal representative of inventor(s). 37 CFR 1.42 or 1.43
____ joint inventor or person showing a proprietary interest on behalf of Inventor who refused to sign or cannot be reached.
____ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See *item 13 below for fee.*

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☐ Not enclosed.

☐ Application is made by a person authorized under 37 CFR 1.41(c)
on behalf on *all* the above named inventors

☐ Showing that the filing is authorized 37 CFR 1.41(d)

5. **Language**

☒ English

☐ non-English

☐ the attached translation is a verified translation, 37 CFR 1.52(d)

6. **Assignment**

☒ An assignment of the invention to

☒ is attached. A separate "COVER SHEET FOR ASSIGNMENT
(DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION"
is also attached.

☐ will follow.

7. **Fee Calculation (37 CFR 1.16)**

A. ☒ Regular Application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
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Total

Claims (37 CFR 1.16(c))	20 - 20 = 0	x \$22.00	\$ 00.00
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Independent

Claims (37 CFR 1.16(b))	3 - 3 = 0	x \$80.00	\$ 00.00
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Multiple dependent claim(s), if any

(37 CFR 1.16(d)) \$250.00

☐ Amendment cancelling extra claims enclosed.

☐ Amendment deleting multiple-dependencies enclosed.

☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 355.00

B. ☐ Design Application

(\$290.00-37 CFR 1.16(f))

Filing Fee Calculation

\$ _____

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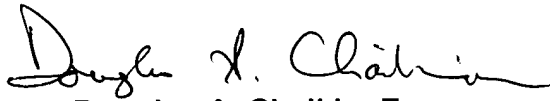
- C. ☐ Plant Application
(\$460.00-37 CFR 1.16(g))
Filing Fee Calculation \$ _____
8. **Small Entity Statement(s)**
☐ Verified Statement(s) that this is a filing by a small entity under 37
CFR1.9 and 1.27 is(are) [] attached [] will follow:
Filing Fee Calculation (50% of A, B or C above) \$ -0-
9. **Fee Payment Being Made At This Time**
☐ Not Enclosed
☐ No filing fee is to be paid at this time. (This and the surcharge required
by 37 CFR 1.16(e) can be paid subsequently.)
XX Enclosed in the form of a check
- | | |
|---|-----------|
| <input checked="" type="checkbox"/> basic filing fee | \$ 355.00 |
| <input type="checkbox"/> recording assignment
(\$40.00; 37 CFR 1.21(h)) | \$ 40.00 |
| <input type="checkbox"/> petition fee for filing by other than all
inventors or person on behalf of the
inventor where inventor refused to sign
or cannot be reached. (\$130.00;
37 CFR 1.47 and 1.17(h)) | \$ _____ |
| <input type="checkbox"/> for processing an application with a
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) | \$ _____ |
| <input type="checkbox"/> processing and retention fee
(\$130.00; 37 CFR 1.53(d) and 1.21(l)) | \$ _____ |
| <input type="checkbox"/> fee for international-type search report
(\$40.00; 37 CFR 1.21(e)) | \$ _____ |
| Total Fees Enclosed | \$ 395.00 |

May 3, 2001

10. **Instructions As To Overpayment**

x refund overpayment via first class mail to the undersigned.

Peninsula IP Group



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